

Form PTO 1449 Rev. 7-80	U.S. Department of Commerce Patent and Trademark Office LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets If Necessary)	Atty. Docket No. CH-7929/LeA 36,206	Serial No. To Be Assigned
		Applicant Frank Berendes et al	
		Filing Date Herewith	Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLH	AA	5,104,899	04/14/92	Young et al	514	646	
	AB						
	AC						
	AD						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
SLH	AL	447 938	10/18/95	Europe				
	AM							
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

SLH	AR	Sybesma et al., Biocatalysis and Biotransformation (month unavailable) 1998. V ol. 16, "Reductions of 3-Oxo Esters by Baker's Yeast: Current Status", pgs. 95-134
SLH	AS	Dahl et al., Tetrahedron: Asymmetry 10, (month unavailable) (1999), "Baker's yeast: improving the D-stereoselectivity in reduction of 3-oxo-esters", pgs. 551-559
SLH	AT	Dehli et al., Tetrahedron Asymmetry 11 (month unavailable) (2000) "Enantio- and chemoselective bioreduction of $\beta$ -keto nitriles by the fungus Curvularia lunata", pgs. 3693-3700

EXAMINER

DATE CONSIDERED

09-28-06

EXAMINER Initial if referenced considered, whether or not citation is in conformance with MPEP 608: Drawing through if not in conformance and not considered. Include copy of this form with next communication to applicant.

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26	AR	Hayakawa et al., Tetrahedron Letters 39, (month unavailable) (1998) "Control of Enantioselectivity in the Bakers' Yeast Reduction of $\beta$ -Keto Ester Derivatives in the Presence of a Sulfur Compound", pgs. 67-70
21	AS	Cabon et al., Tetrahedron: Asymmetry Vol. 6, No. 9, (month unavailable) 1995, "The Microbial Reduction of 2-Chloro-3-oxoesters" pgs. 2199-2210
21	AT	Smallridge et al., Tetrahedron Letters Vol. 39, (month unavailable) (1998), "Enzymatic Alkylation of $\alpha$ -Cyanoketones by Bakers Yeast", pgs. 5121-5124
EXAMINER		DATE CONSIDERED 29-26-06

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21	AR	Chênevert et al., Tetrahedron Vol. 48, No. 33 (month unavailable) 1992, "Asymmetric Synthesis of Both Enantiomers of Fluoxetine via Microbiological Reduction of Ethyl Benzoylacetate", pgs. 6769-6776	✓
21		Kumar et al., Tetrahedron Letters, Vol. 32, No. 16, (month unavailable) 1991, "A New Chemo-enzymatic Enantioselective Synthesis of R-( )-Tomoxetine, (R)- and (S)-Fluoxetine", pgs. 1901-1904	✓
21	AT	Kalinin et al., Tetrahedron Letters 39, (month unavailable) (1998), "Directed <i>ortho</i> Metalation - Cross Coupling Links. Carbamoyl Rendition of the Baker-Venkataraman Rearrangement. Regio-specific Route to Substituted 4-Hydroxycoumarins", pgs. 4995-4998	✓
21			

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09-26-66

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JK	AR	D.Döpp u. H. Döpp: Carbonsäure-amide (Monoacyl-amine), Houben Weyl "Methoden der Organischen Chemie [Methods of organic chemistry]", 4 <sup>th</sup> Edition, Vol. E5, pgs. 940-1010					✓	
		Houben Weyl "Methoden der Organischen Chemie", 4 <sup>th</sup> edition, Volume E 16 d, pgs. 987-1003						
JK	AS							
JK	AT							
EXAMINER <u>Y</u>			DATE CONSIDERED <u>09-26-05</u>					

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